BEACON™
FINANCIAL PARTNERS
Brighter financial guidance.

Anatomy *of the Firm*

A. Firm Personnel
- Director of Wealth Management
- Director of Investments
- Director of Operations
- Director of Market Development
- Chief Operations Officer
- Client Relations Coordinator
- Registered Trading Specialist
- Relationship Manager
- Project Manager

B. Advisors

A variety of financial service professionals specializing in the many facets of planning - Investment, Estate, Business, Charitable and Personal. Designations include CFP, JD, CLU, CHFC, and CPA.

C. Strategic Partner: SMH Capital, Inc. (SMHG, NASDAQ)

A financial services firm focused on providing investment expertise through its Asset Wealth Management, Investment Banking, Private Equity, and Private Money Management Divisions. SMH has clearing firm capabilities through Pershing - one of the largest in the world - with high level trading capabilities and performance reporting. SMH Group is one of the largest investment banking firms in the Southwest, with an independent Broker/Dealer that is flexible and personable. Their primary business philosophy of ***investment in common***, whereby their partners and employees invest in the programs made available to our clients, gives us the confidence that we are providing top-notch investments to our clients.

Highlights of SMHG's offering
- Trust Services through Salient Partners and the Bank of New York
- Wide variety of alternative investment programs including Oil and Gas, Endowment, Timber, and Real Estate
- Private Equity
- Name branded Managed Asset Programs
- A variety of ETF Platforms
- Mortgage Lending
- Investment Banking
- Equity and Fixed Income Research and Consulting

Entrepreneurial

Vision

To build a comprehensive planning and wealth management firm that provides independent, objective advice to our clients to provide the "ultimate client planning experience."

The integration of sound financial planning with the innovative investment platform provided by our strategic partner - SMH Capital, Inc. - affords a financial service experience unique to our business.

25800 Science Park Drive | Suite 200 | Beachwood, Ohio 44122 | 216-910-1850 | fax: 216-910-1899

D. Firm Provisions

- Professional office space with conference rooms for client meetings.
- Risk management services through our alliance with Dempsey for full service insurance support. Dempsey will provide consultation, case design, and underwriting support for your insurance needs.
- Compliance oversight
- IT support - Nationally and locally
- Practice Management - coaching to advisor “best practices”
- Ongoing education - local meetings, regional meetings, advisors and staff
- Business continuity opportunities
- Business Transition Team - minimize downtime
- Client Appreciation Events
- Marketing opportunities through Strategic Partnering - i.e RetireWise Approach
- Robust Technology - Including AllBridge for consolidated statements and performance reporting, Smart Office for our CRM function, Naviplan for planning software, Morningstar Workstation, online research, NetExchange Pro for trading

E. Compensation/Wealth Building

- Competitive grid
- Employee benefits for staff through SMH
- Opportunity to “invest in common” in SMH private investment programs

Summary

If you want to take your planning advice to the next level, Beacon provides you with that opportunity.
You will be unique, cutting edge, and more valuable than before.

BEACON™
FINANCIAL PARTNERS